



Claim for CERCLA Response Action

General Instructions: Complete all items in ink or by typewriter. If an item is not applicable to your claim, write "N/A" in the appropriate space. Attach typewritten sheets for additional information. Specific instructions are presented on page 3 of this form.

I. Introductory Material

- A. Name, Title and Address of Claimant(s): _____
- B. Name of Site: _____
- C. Preauthorization Decision Document (PDD):
Number _____
Date _____
(attach copy)

D. Name, Title and Address of Agent (if any) Authorized to Represent the Claimant: _____

II. Relates to Potentially Responsible Parties (PRPs)

- A. Has the claimant made a reasonable effort to identify any PRPs (other than any who may be parties to this claim)? Describe those efforts. _____
- B. Has the claimant presented a request for reimbursement to known PRPs (other than any who may be parties to the claim)?
☐ Yes ☐ No
Attach names, addresses and dates of presentation. Describe any responses. _____
- C. If a partial settlement was reached with PRPs after presentation of the claim as described in II B, did EPA approve any release?
☐ Yes ☐ No If no, explain. _____
- D. Is there any action pending in court regarding this site or response actions?
☐ Yes ☐ No If yes, explain. _____

III. Relates to Claims for a Preauthorized Phase

- A. Is this a claim for a preauthorized phase? ☐ Yes ☐ No
If no, provide the completion date of the subject response action and skip B, C, D and E. _____

- B. How many claims are authorized in the PDD? _____
- C. For which preauthorized phase are you filing a claim at this time? _____
- D. Is completion of the next preauthorized phase on schedule?
☐ Yes ☐ No If no, explain. _____
- E. Estimated date for submitting claim for the next preauthorized phase. _____

IV. Relates to Response Action

- A. Was the response/preauthorized phase completed in accordance with the PDD? ☐ Yes ☐ No If yes, skip B _____
- B. Was a modification to the preauthorization request submitted to and approved by EPA?
☐ Yes -- Supply number and date _____
☐ No -- Explain how and why response differs from PDD. _____
- C. Was the response completed in accordance with the Statement of Work and the Work Plan? ☐ Yes ☐ No If yes, skip D _____
- D. Explain how and why the response differs from the Statement of Work and/or the Work Plan. _____

E. Address how each of the PDD terms and conditions were met (in the order that they appear in the PDD).
Provide documentation of such adherence in an appendix. _____

F. Provide the name and address of the location where all cost documentation and any other records relating to the claim will be maintained. _____